

Distributor Name and ARN	Sub Broker Code	Branch / RM Code	For Office use only
ARN-109217  Distributor Contact No:			

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

### 1. FIRST APPLICANT'S DETAILS

**Name of First Applicant** (Should match with PAN Card) \_\_\_\_\_ Title ☐ Mr. ☐ Ms. ☐ M/s

**Existing Folio No** \_\_\_\_\_ / \_\_\_\_\_ (If you have an existing folio number with PAN and KYC validation, please mention the number here and skip to section 4. Mode of holding will be as per existing folio number)

**Date of Birth** (Mandatory for minor) DD / MM / YYYY **Gender** ☐ Male ☐ Female

**PAN** (1st Applicant / Guardian) \_\_\_\_\_ **Enclosed** ☐ Attested PAN card copy  
(Mandatory) (Please tick ☒) ☐ KYC Acknowledgement (Mandatory - Refer General Instructions h.)

**Name of Guardian** if minor / **Contact Person** for non-individuals / **PoA** Holder name: **PoA PAN\*** \_\_\_\_\_

**Correspondence Address** \_\_\_\_\_ \*PoA PAN & KYC is mandatory


Landmark \_\_\_\_\_

City \_\_\_\_\_ Pin Code (Mandatory) \_\_\_\_\_ State \_\_\_\_\_

**Email ID** (in capital) \_\_\_\_\_ (Refer instruction 6)

**Mobile** +91 \_\_\_\_\_ **Fax** \_\_\_\_\_


**STD Code** \_\_\_\_\_ **Tel. (Office)** \_\_\_\_\_ **Tel. (Resi.)** \_\_\_\_\_

**Need PIN**  ☐ Yes, I wish to have a PIN for internet / telephone transactions and agree to terms and conditions of PIN Issuance and Usage as available in SID/SAI and www.dspblackrock.com (Refer instruction 1(i) for mandatory details).

**Status of Sole/1st Applicant** (Please tick ☒) ☐ Resident Individual ☐ On Behalf Of Minor ☐ HUF ☐ Sole Proprietorship ☐ NRI (Repatriable)  
☐ NRI (Non-Repatriable) ☐ LLP ☐ Partnership Firm ☐ Company ☐ AOP/BOI ☐ Body Corporate ☐ Trust ☐ Society ☐ FII ☐ FOF - MF schemes ☐ Provident Fund  
☐ Superannuation / Pension Fund ☐ Gratuity Fund ☐ Bank / FI ☐ Government Body ☐ Insurance Companies ☐ Others \_\_\_\_\_ (Please specify)

**Occupation** (Please ☒) ☐ Service ☐ Professional ☐ Business ☐ Housewife ☐ Retired ☐ Student ☐ Other \_\_\_\_\_

### 2. JOINT APPLICANTS' DETAILS

 **Mode of Holding** (Please tick ☒) ☐ Joint (Default) ☐ Anyone or Survivor ☐ Single

**Name of Second Applicant** (Should match with PAN Card) \_\_\_\_\_ Title ☐ Mr. ☐ Ms. ☐ M/s

**PAN** (2nd applicant) \_\_\_\_\_ **Enclosed** ☐ Attested PAN card copy  
(Please tick ☒) ☐ KYC Acknowledgement (Mandatory - Refer General Instructions h.)

**Name of Third Applicant** (Should match with PAN Card) \_\_\_\_\_ Title ☐ Mr. ☐ Ms. ☐ M/s

**PAN** (3rd applicant) \_\_\_\_\_ **Enclosed** ☐ Attested PAN card copy  
(Please tick ☒) ☐ KYC Acknowledgement (Mandatory - Refer General Instructions h.)

### ACKNOWLEDGEMENT SLIP (To be filled in by the investor)

### DSP BLACKROCK MUTUAL FUND

Received, subject to realisation, verification and conditions, an application for purchase of Units as mentioned in the application form.

From \_\_\_\_\_

Cheque no.	Date	Amount	Scheme

Application No.

KAF0134493

### 3. BANK ACCOUNT DETAILS (Refer Instruction 3 and avail Multiple Bank Registration Facility)

Bank Name																													
Bank A/C No.																			A/C Type	<input type="checkbox"/> Savings	<input type="checkbox"/> Current	<input type="checkbox"/> NRE	<input type="checkbox"/> NRO	<input type="checkbox"/> FCNR	<input type="checkbox"/> Others				
Branch Address																													
																			City							Pin			
IFSC code: (11 digit)												MICR code (9 digit)	(This is a 9 digit number next to your cheque number)																

### 4. INVESTMENT AND PAYMENT DETAILS (Refer Instruction 4) (Cheque DD should be in favour of "Scheme Name")

Scheme/Plan /Option/Sub Option	DSP BlackRock																										
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[Default plan/option/sub option will be applied incase of no information, ambiguity or discrepancy]

LUMPSUM	<input type="checkbox"/> One time Lump sum Investment:  Please fill the details hereunder.  Do not submit SIP Auto Debit Form. (Refer instruction 4(i) on Third Party Payments)																										
	Payment Mode: <input type="checkbox"/> Cheque <input type="checkbox"/> DD <input type="checkbox"/> RTGS <input type="checkbox"/> NEFT <input type="checkbox"/> Funds transfer	Cheque/RTGS/NEFT/DD Date	<table><tr><td>D</td><td>D</td><td>/</td><td>M</td><td>M</td><td>/</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	/	M	M	/	Y	Y	Y	Y														
	D	D	/	M	M	/	Y	Y	Y	Y																	
	Cheque/DD/RTGS/NEFT No.	Payment from Bank A/c No.	Pay In A/c No.																								
	Amount (Rs. ) (i)	Bank Name																									
	DD charges, (Rs.)(ii)	Branch																									
Total Amount (Rs.) (i) + (ii)	In figures	Account Type	<input type="checkbox"/> Savings	<input type="checkbox"/> Current	<input type="checkbox"/> NRE	<input type="checkbox"/> NRO	<input type="checkbox"/> FCNR																				
In Words		Documents Attached to avoid Third Party Payment Rejection, where applicable: <input type="checkbox"/> Bank Certificate, for DD <input type="checkbox"/> Third Party Declarations																									

SIP	<input type="checkbox"/> SIP: Systematic Investment Plan.  Please fill up SIP Auto Debit form and attach with this form . (Refer instruction 4(i) on Third Party Payments)																			
	First SIP Cheque Details: (Mention Amount in SIP Auto Debit Form)																			
	Cheque / DD No.	Drawn on Bank A/c No.	Pay In A/c No.																	
	Cheque/DD Date	<table><tr><td>D</td><td>D</td><td>/</td><td>M</td><td>M</td><td>/</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	/	M	M	/	Y	Y	Y	Y	Bank & Branch							
D	D	/	M	M	/	Y	Y	Y	Y											

### 5. NOMINATION DETAILS (Refer Instruction 5)

Individuals (single or joint applicants) are advised to avail Nomination facility. ☐ I/We wish to nominate ☐ I/We DO NOT wish to nominate.

	Nominee Name	Guardian Name (In case of Minor)	Allocation %	Nominee Signature
Nominee 1				
Nominee 2				
Nominee 3				
Address			Total = 100%	

### 6. DECLARATION & SIGNATURES

Having read and understood the contents of the Scheme Information Document and Statement of Additional Information, Key Information Memorandum and Instructions. I /We, hereby apply to the Trustee of DSP BlackRock Mutual Fund for Units of the relevant Scheme and agree to abide by the terms and conditions, rules and regulations of the Scheme. I /We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I /We hereby nominate the above nominee to receive all the amounts to my/our credits in the event of my/our death and have read the instructions for nomination. Signature of the nominee acknowledging receipts of my/our credit will constitute full discharge of liabilities of DSP BlackRock Mutual Fund. I /We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. **Applicable to NRIs only:** I/We confirm that I am/We are Non-Resident(s) of Indian Nationality / Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my /our Non-Resident External / Ordinary Account/FCNR Account(s). If NRI ☒ ☐ Repatriation basis ☐ Non-Repatriation basis

Sole / First Applicant / Guardian	Second Applicant	Third Applicant

Email: [service@dspblackrock.com](mailto:service@dspblackrock.com)  
Website: [www.dspblackrock.com](http://www.dspblackrock.com)

Contact Centre: 1800 200 4499

Quick Checklist

- |   |   |  |
|---|---|--|
| <input type="checkbox"/> Name, Address are correctly mentioned  | <input type="checkbox"/> Full scheme name, plan, option is mentioned      | <input type="checkbox"/> Additional documents provided if investor name is not pre-printed on payment cheque or if Demand Draft is used. |
| <input type="checkbox"/> Email ID / Mobile number are mentioned | <input type="checkbox"/> Pay-In bank details and supportings are attached | <input type="checkbox"/> Additional documents provided in case of specific exceptional Third Party Payments.                             |
| <input type="checkbox"/> PAN / KYC requirements are enclosed    | <input type="checkbox"/> Nomination facility opted                        |  |
| <input type="checkbox"/> Complete Bank details provided         | <input type="checkbox"/> Form is signed by all applicants                 |  |



## SIP ECS (Debit Clearing) / Direct Debit / Standing Instructions: Terms & Conditions

### List of Cities for SIP Auto Debit facility via ECS (Debit Clearing)

● Agra ● Ahmedabad ● Allahabad ● Amritsar ● Anand ● Asansol ● Aurangabad ● Bangalore ● Baroda ● Belgaum ● Bhavnagar ● Bhillwara ● Bhopal ● Bhubaneswar ● Bijapur ● Bikaner ● Burdwan ● Calicut ● Chandigarh ● Chennai ● Cochin ● Coimbatore ● Cuttack ● Davangere ● Dehradun ● Delhi ● Dhanbad ● Durgapur ● Erode ● Gadag ● Gangtok ● Gorakhpur ● Gulbarga ● Guwahati ● Gwalior ● Hassan ● Hubli ● Hyderabad ● Indore ● Jabalpur ● Jaipur ● Jalandhar ● Jammu ● Jamnagar ● Jamshedpur ● Jodhpur ● Kakinada ● Kanpur ● Kolhapur ● Kolkata ● Kota ● Lucknow ● Ludhiana ● Madurai ● Mandya ● Mangalore ● Mumbai ● Mysore ● Nagpur ● Nasik ● Nellore ● Panjim ● Patna ● Pondicherry ● Pune ● Raichur ● Raipur ● Rajkot ● Ranchi ● Salem ● Shimla ● Shimoga ● Siliguri ● Solapur ● Surat ● Tirupati ● Tirunelveli ● Tirupur ● Trichur ● Trichy ● Trivendrum ● Udaipur ● Udupi ● Varanasi ● Vijayawada ● Vizag

### In other cities, facility available to account holders of Core Banking Branches of following banks

● Axis Bank ● Bank of Baroda ● Bank of India ● Citibank NA ● HDFC Bank ● ICICI Bank ● IDBI Bank ● Kotak Mahindra Bank ● Punjab National Bank ● State Bank of India ● Union Bank of India ● Corporation Bank

- Please refer the SID, SAI and Key Information Memorandum, Addenda issued from time to time and our website [www.dspblackrock.com](http://www.dspblackrock.com) for instructions, terms and conditions, Applicable NAV, Risk Factors, load and other information, various requirements of the respective Scheme / Plan before investing. It is deemed that the investor has agreed to all the terms and conditions as prevailing on the date of the application and investment.
- This facility is only offered to those investors having bank accounts in select cities and with banks as mentioned above. The list of cities, banks and branches may be modified/updated/changed/removed at any time in future at the discretion of the AMC without assigning any reasons or prior notice. If the SIP auto debit facility is discontinued in a city for any reason, SIP instructions for investors in such city via ECS (Debit) route/Direct debit/SI may be discontinued without prior notice.
- PAN is mandatory for all investors. However, investments through Micro SIPs, i.e. where aggregate of installments per year per investor does not exceed Rs. 50,000, will be exempt from the requirement of PAN. Such investors must submit a valid and self attested standard photo identification document in lieu of PAN. List of acceptable documents are available with the ISCs of the AMC/Registrar. If the identification document is not in order, or registration of SIP results in aggregate of the SIP investments exceeding Rs. 50,000 per investor per year, the SIP will be rejected.
- If SIP application form and cheque is submitted with bank details of a city where the Mutual Fund does not provide auto debit facility, first SIP cheque may get processed. However, future debits may not happen and SIP instruction may get rejected.
- In case of rejection of SIP form for any reason whatsoever, the Mutual Fund will not entertain any request for refund of proceeds of first cheque which is already processed. Investors will have to redeem the units allotted through first cheque at applicable NAV.
- To avail of SIP in separate schemes/plans via debit facility, an investor will have to fill a separate form for each scheme/plan. A single form cannot be used for different schemes simultaneously and may be rejected.
- Complete application form and SIP debit form along with the first cheque (drawn on the same bank account which is to be registered for ECS Debit/Direct Debit / Standing Instruction) should be submitted to the Mutual Fund or the Registrar. AMC reserves the right to reject any application without assigning any reason thereof. Incorrect, incomplete or ambiguous forms will not be accepted and will be returned to the investor within 20 days via normal post.
- Existing Investors are required to submit the SIP debit form, indicating the existing Account Number and investment details in the SIP section of this form.
- In case the first cheque is issued from a different bank account or is a demand draft or in case of renewal of SIP debit, then investors should attach a cancelled cheque or a copy of the cheque pertaining to the bank account which is to be registered for ECS Debit/direct debit / standing instruction. Alternatively, investors can also get the bank account mentioned on the form certified from their banker with the bank seal.
- It will take a minimum of one month for registration with the bank and hence the first debit will be carried out only after one month, on the SIP start date mentioned on the form.
- The SIP period should cover minimum 12 installments (6 in case of DSPBR Tax Saver Fund), whether monthly or quarterly. The Mutual Fund and the Registrar reserve the right to modify the SIP period depending on the one month period for registration and to ensure minimum installments, even if the investor has submitted the form late or requested for a period less than minimum installments.
- If no start date is specified, SIP will be registered to start from a period after 30 days on the SIP date as available / mentioned. If no end date is specified or in the absence of SIP end date, SIP will be registered for a period of 10 years.
- The investor agrees to abide by the terms and conditions of ECS facility of the Reserve Bank of India (RBI) as applicable at the time of investment and as may be modified from time to time at a later stage.
- The investor undertakes to keep sufficient funds in the funding account till the date of execution of standing instruction. The investor hereby declares that the particulars given above are correct and complete. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information or due to any reason by the investor's banker, the investor would not hold the Mutual Fund or the Bank responsible. If the date of debit to the investor's account happens to be a non Business Day as per the Mutual Fund, execution of the ECS Debit / Direct Debit / SI may happen on non business and allotment of Units will happen as per the terms and conditions listed in the SID, SAI, KIM and all relevant Addenda of the scheme concerned.
- The Mutual Fund, its Registrars, Direct Debit Banks and other service providers shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligation under this agreement, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riots, strike, mutiny, revolution, fire, flood, fog, war, lightning, earthquake, change of Government policies, unavailability of bank's computer system, force majeure events, or any other cause of peril which is beyond their reasonable control and which has the effect of preventing the performance of contract by them.
- For change in bank mandate, the SIP dates and the amount will not be considered even if mentioned. The SIP amount and the SIP tenure will remain the same as provided at the time of initial registration.
- For the purpose of SIP investments, the investor and/or bank account holders authorizes AMC, its registrars, bankers, correspondent bankers and service providers, to raise a debit on the mentioned account with the bank branch. The investor and/or bank account holders hereby authorizes the bank to honor all such requests received through AMC, its registrars, bankers and service providers to debit their Account with the amount requested, for due remittance of the proceeds to AMC. The investor/s or the bank account holders shall not dispute or challenge any debit, raised under this mandate, on any ground whatsoever. Investors will not have any claim against the AMC, service providers, bankers, correspondent bankers and service providers in respect of the amount so debited pursuant to the mandate submitted by the investor/account holder. Investor/s and/or the bank account holders shall keep the AMC, its Registrar, bankers, correspondent bankers and other service providers, jointly and or severally indemnified, from time to time, against all claims, actions, suits, for any loss, damage, costs, charges and expenses incurred by them, by reason of their acting upon the instructions issued by the named authorized signatories / beneficiaries. This request for debit mandate is valid and may be revoked only through a written letter from the investor submitted atleast one month in advance withdrawing the mandate signed by the authorized signatories / beneficiaries and acknowledged at AMC/registrar/bank counters and giving reasonable notice to effect such withdrawal.
- To modify SIP debit bank mandate, the investor may fill a separate form, viz., "Change of Payment Mechanism form" which is available at all the offices of the Mutual Fund. Instructions in any other form / manner are liable to be rejected.
- This request for debit mandate is valid until it is revoked by the investor through a written SIP cancellation request in the designated form withdrawing the mandate signed by the authorized signatories / beneficiaries and acknowledged at bank counters. Such request must be submitted atleast one month prior to the due date of the next SIP, failing which SIP debit may be processed. If the cancellation/termination / withdrawal request is submitted to the AMC/Registrar, the customer agrees that it is an authority to the AMC/Registrar to instruct the debit bank on discontinuation of SI and Customer will not hold Bank responsible for any SI cancellation based on AMC instruction.
- Investors agree that the AMC may discontinue the SIP facility for any investor / folio entirely at it's discretion and advise banks for SI cancellation in case one or more debits are rejected and funds not received for any reason.

### SIP AUTO DEBIT FORM CHECKLIST

- |   |   |
|---|---|
| <input type="checkbox"/> Application Form is complete in all respect. Name, Application Number/ Folio, Scheme, Plan, Option are mentioned clearly | <input type="checkbox"/> Bank Name, Full Branch Address, Full Account Number, MICR Code for debit has been mentioned clearly and legibly. |
| <input type="checkbox"/> SIP Date, SIP Frequency has been ticked correctly  | <input type="checkbox"/> Form is signed by all applicants as in bank records in two places as specified.                                  |
| <input type="checkbox"/> Start Date and End Date is clearly mentioned as Month – Year.  | <input type="checkbox"/> Auto Debit is from first Unit Holder's own bank account only.  |

Broker Code ARN-0030 Sub Broker Code Branch/RM Code Appl No.

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

NAME OF UNITHOLDER / APPLICANT / GUARDIAN Date of Birth FOLIO NO.

E-mail id to receive transaction confirmation / Account Statements etc.

Sole / First Applicant / Guardian Second Applicant / Guardian Third Applicant / Guardian

PAN (Provide attested copy)  
In case of Micro SIP (Refer Instruction 3)

ID Proof Document Name

Document Number  
(provide attested photo identification proof)

### 1. SYSTEMATIC INVESTMENT PLAN (SIP) (Separate Cheque required for each investment)

All cheques should be of same date of the months/quarters.

Scheme, Plan/Option SIP Date: ☐ 1st ☐ 7th ☐ 14th ☐ 21st ☐ 28th

Each SIP Amount Rs. Frequency ☐ Monthly (Default) ☐ Quarterly

(Minimum Rs. 500/-)

SIP Period From To Cheque Nos. From To (Minimum 12 instalments, 6 in case of DSPBR Tax Saver Fund)

Drawn on Bank A/c. Bank Branch City

### 2. SYSTEMATIC WITHDRAWAL PLAN (SWP) (Please allow 7 days to register SWP)

Scheme, Plan/Option Withdrawal Amount Withdrawal period starting from (Period to cover - minimum 6 SWP transactions)

☐ Fixed Sum of Rs. (Minimum Rs. 500/-) ☐ Capital Appreciation, subject to a Minimum of Rs. 500/-

Withdrawal Dates ☐ 1st\* ☐ 7th ☐ 14th ☐ 21st ☐ 28th ☐ All five SWP Dates Frequency ☐ Monthly\* ☐ Quarterly \* Default options

### 3. SYSTEMATIC TRANSFER PLAN (STP) (Please allow 7 days to register STP)

STP From Scheme/Plan/Option

STP Into Scheme/Plan/Option Transfer period starting from (Period to cover - minimum 6 STP transactions)

Transfer Amount ☐ Fixed Sum of Rs. (Minimum Rs. 500/-) ☐ Capital Appreciation, subject to a Minimum of Rs. 500/-

Transfer Dates ☐ 1st\* ☐ 7th ☐ 14th ☐ 21st ☐ 28th ☐ All five STP Dates Frequency ☐ Monthly\* ☐ Quarterly \* Default options

Investments done in schemes through STP will be treated as investments through SIP and the load structure for SIP will be applicable.

### DECLARATION & SIGNATURES

Having read and understood the contents of the Scheme Information Document and Statement of Additional Information, Key Information Memorandum, Addenda issued till date of the Scheme & Plans mentioned above (including the target Scheme in case of STP) and Instructions overleaf, I/We apply to the Trustee, DSP BlackRock Mutual Fund, for SIP / SWP / STP, as the case may be, and agree to abide by the terms and conditions of SIP / SWP / STP. I/We agree to consider investment through STP as a deemed SIP investment. I/We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. In case of Micro SIP application without PAN, I/We hereby declare that I/we do not have any existing Micro SIPs with DSP BlackRock Mutual Fund which together with the current application will result in aggregate investments exceeding Rs. 50,000 in a year. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other model), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

Sole / First Applicant / Guardian Second Applicant Third Applicant

### SIP / SWP / STP FORM - ACKNOWLEDGEMENT SLIP (To be filled in by the Investor)

ACCOUNT NO. / \*Subject to verification, realisation and conditions ISC Stamp & Signature

Received from ☐ STP ☐ SIP Cheque No. From To

An application for ☐ SWP Total cheques

## INSTRUCTIONS FOR SYSTEMATIC INVESTMENT (SIP)

- New investors investing in a Scheme / Plan using SIP should fill in all the sections in the Common Application Form except the section titled "Investment & Payment Details". Such investors should fill in their investment details in the SIP section of this form.
- Existing Investors are required to submit only this form (SIP / SWP / STP Form), indicating the existing Folio / Account Number, PAN details as applicable and investment details in the SIP section.
- Investors should ensure that they have complied with PAN and KYC requirements mentioned in KIM and general instructions, as may be applicable to them while opting for SIP facility.
- Minimum Investment Amount for each SIP installment is Rs. 500/- in all schemes and SIP has to be for a minimum period of 12 installments (6 in case of DSPBR Tax Saver Fund)
- You can opt for an SIP on a monthly / quarterly basis by issuing post-dated cheques for a pre-defined amount.
- SIP Facility is available only on specific dates of the month viz 1st / 7th / 14th / 21st/28th. All Cheques should be of the same date of month / quarter and for the same amount.
- The Cheques should be drawn in favour of "Name of the Scheme", as applicable, e.g. "DSP BlackRock Equity Fund."
- A separate SIP Form should be filled in for each Scheme / Plan / Option. Your Folio/Account number and name should be mentioned on the reverse of the Cheque.
- You can choose to discontinue this facility by giving 30 days' written notice to the Registrar.

## INSTRUCTIONS FOR SYSTEMATIC WITHDRAWAL PLAN (SWP)

- New Investors investing in a scheme for the first time and wishing to avail of SWP, should fill in all the sections in the Common Application Form. The withdrawal details should be indicated in the SWP section of this form.
- Existing Investors are required to submit only this form (SIP/SWP/STP Form) indicating the existing Folio/Account Number and withdrawal details in the SWP section.
- Investors should ensure that they have complied with PAN and KYC requirements mentioned in KIM and general instructions, as may be applicable to them while opting for SWP facility.
- Please allow upto 7 days for SWP to be registered and the first SWP transaction to happen. Hence, form should be submitted atleast 7 days before the SWP start date.
- SWP Facility is available only on specific dates of the month viz. 1st / 7th / 14th / 21st/28th. In case of ambiguity / incomplete form, "1st" will be treated as the default option date.
- You can opt for an SWP for a fixed amount or appreciation on a weekly (on all five SWP dates) / monthly / quarterly basis. In case of ambiguity / incomplete form, monthly option will be treated as default option.
- SWP period has to be for minimum of 6 installments irrespective of frequency i.e. 6 dates in case of weekly option or 6 months in case of monthly option or 6 quarters in case of quarterly option.
- SWP withdrawal request should not be below Rs. 500/- . For investors availing of the withdrawal of 'appreciation' option, where, in any week, month or quarter, there is no appreciation or the appreciation is less than Rs. 500, withdrawal as mentioned above, will not be carried out. Even if withdrawal is not carried out due to lack of appreciation, the SWP will be terminated at the end of the specified period.
- Where start date is not specified clearly, SWP will be registered to start from a period after 7 days on the SWP date as available / mentioned. Where end date is not specified clearly, SWP will be registered for a period of three years.
- Investors may choose to discontinue this facility by giving 30 days' written notice to the Registrar.

## INSTRUCTIONS FOR SYSTEMATIC TRANSFER PLAN (STP)

- If you are investing in a Scheme for the first time and wish to avail of STP, please fill in all the sections in the Common Application Form. The transfer details should be indicated in the STP Section of this form.
- Existing Investors are required to submit only this form (SIP / SWP / STP Form) indicating the existing Account/Folio Number and transfer details in the STP section
- Investors should ensure that they have complied with PAN and KYC requirements mentioned in KIM and general instructions, as may be applicable to them while opting for STP facility.
- Please allow upto 7 days for the STP to be registered and the first STP transaction to happen. Hence, form should be submitted atleast 7 days before the STP start date.
- STP Facility is available only on specific dates of the month, viz. 1st / 7th / 14th / 21s/28th. In case of ambiguity / incomplete form, "1st" will be treated as the default option date.
- You can opt for an STP for a fixed amount or appreciation on a weekly (on all five STP dates) / monthly / quarterly basis. In case of ambiguity / incomplete form, monthly option will be treated as the default option.
- STP period has to be for a minimum of 6 transactions / transfers irrespective of frequency, i.e. 6 dates incase of weekly option or 6 months incase of monthly option or 6 quarters incase of quarterly option.
- STP transfer request should not be below Rs.500/-. For investors availing of the transfer of 'appreciation' option, where in any week, month or quarter, there is no appreciation or the appreciation is less than Rs.500, switch as mentioned above, will not be carried out. Even if transfer is not carried out due to lack of appreciation, the STP will be terminated at the end of the specified period.
- Where start date is not specified clearly, STP will be registered to start from a period after seven days on the STP date as available / mentioned. Where end date is not specified clearly, STP will be registered for a period of ten years.
- You can choose to discontinue this facility by giving 30 days' written notice to the Registrar.

**Email: [service@dspblackrock.com](mailto:service@dspblackrock.com)**  
**Website: [www.dspblackrock.com](http://www.dspblackrock.com)**

**Contact Centre: 1800 200 4499**